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No. Pages: 6

Instrument: EXHIBIT(S)

Control #: 202402161646
Index #: E2024003035

Date: 02/16/2024

Time: 6:19:55 PM

Return To:
JENNIFER MORGAN LEVY
24 N. Main Street
Suite 2
Fairport, NY 14450

Dias, Liyanagamage Ranganath Prabashwara

University of Rochester

Total Fees Paid: $0.00

Employee:

State of New York

MONROE COUNTY CLERK'S OFFICE
WARNING – THIS SHEET CONSTITUTES THE CLERKS ENDORSEMENT, REQUIRED BY SECTION 317-a(5) & SECTION 319 OF THE REAL PROPERTY LAW OF THE STATE OF NEW YORK. DO NOT DETACH OR REMOVE.

JAMIE ROMEO

MONROE COUNTY CLERK

EXHIBIT

From: Ranga Prabhashwara
Sent: 6/5/2023 4:19:06 PM
To: Robert Heist
Subject: Fwd: Confidential - Misconduct investigation

Begin forwarded message:

From: "Dewhurst, Stephen" <Stephen_Dewhurst@URMC.Rochester.edu>
Subject: Re: Confidential - Misconduct investigation
Date: June 5, 2023 at 5:38:47 PM EDT
To: "Dias, Liyanagamage" <rdias@rochester.edu>
Cc: "Payne, Donna" <Donna.Payne@Rochester.edu>, "Dewhurst, Stephen" <Stephen_Dewhurst@URMC.Rochester.edu>, Leslie Thornton <Leslie.Thornton@ropesgray.com>, Mark Barnes <mark.barnes@ropesgray.com>

Hi Ranga

Thanks so much!

Donna - Please LMK if OK to share this info w the investigation committee?

Ranga - I'm also looping in two individuals who will be helping the University with this matter, and also assisting the Investigation Committee on an advisory basis. They are Mark Barnes and Leslie Thornton - counsel with the law firm of Ropes and Gray; they have extensive expertise in the area of research compliance/regulation (including research misconduct).

Best regards

Steve

****

Steve Dewhurst
He/him/his
Interim Vice President for Research
Vice Dean for Research, School of Medicine & Dentistry
University of Rochester
Cell: (585) 797 7840 stephen_dewhurst@urmc.rochester.edu

On Jun 4, 2023, at 12:43 AM, Dias, Liyanagamage <rdias@rochester.edu> wrote:

Dear Steve,

I trust you are enjoying your weekend.

I have successfully uploaded nearly all the documents, including those due on June 8th, to a designated box folder which I have shared with you. The folder's final name is "NSF documents." The only remaining item pending is the LabVIEW code for R(T) and chi'(T) measurements. I am currently waiting for my student to send me the program, and once received, I will promptly upload it to the box folder.

Please let me know if there are any additional materials you require.

Regards,
Ranga

From: Dewhurst, Stephen <Stephen_Dewhurst@URMC.Rochester.edu>
Sent: Thursday, June 1, 2023 11:55 AM
To: Dias, Liyanagamage <rdias@rochester.edu>
Cc: Payne, Donna <Donna.Payne@Rochester.edu>; Dewhurst, Stephen <Stephen_Dewhurst@URMC.Rochester.edu>
Subject: Re: Confidential - Misconduct investigation

Dear Ranga

Just touching base to see how things are progressing?

Also, thanks for the suggesting times. I'll share those with the committee.

And apologies re the exhibits. I just created a BOX folder with the related info, and have shared it with you. The folder contents are restricted/confidential.

Best

Steve

On May 26, 2023, at 3:42 PM, Dias, Liyanagamage <rdias@rochester.edu> wrote:

Dear Prof. Dewhurst,

Thank you for your recent email regarding the NSF investigation and the Committee's request for information. I acknowledge the importance of this matter and I am currently engaged in gathering and organizing the files as per the Committee's specifications. The task at hand involves a substantial amount of information, and I am committed to meeting the Committee's deadline of June 1, 2023. I will keep you informed of my progress in this regard.

Regarding the scheduling of the 2-hour meeting with the Committee, I would like to propose the following available time blocks:

June 7: 10:00 a.m. to 1:00 p.m. EST
June 8: After 2:00 p.m. EST
June 9: 10:30 a.m. to 3:30 p.m. EST

Please let me know which of these options aligns with the Committee's schedule.

Furthermore, I kindly request your assistance in forwarding accompanying exhibits that were mentioned in the NSF letter that initiated this investigation. I have received the letter, but I could not find the accompanying exhibits in the email, and I would greatly appreciate your assistance in providing them to me as soon as possible so that I can prepare for the 2 hour meeting with the Committee.

Thank you for your ongoing support and timely assistance in this matter. I eagerly anticipate hearing from you regarding these requests.

Regards,
Ranga

From: Dewhurst, Stephen <Stephen_Dewhurst@URMC.Rochester.edu>
Sent: Wednesday, May 24, 2023 7:44 PM
To: Dias, Liyanagamage <rdias@rochester.edu>
Cc: Dewhurst, Stephen

<Stephen_Dewhurst@URMC.Rochester.edu>; Payne, Donna <Donna.Payne@Rochester.edu>

Subject: Confidential - Misconduct investigation

Dear Ranga

I'm writing to let you know that the committee that will be conducting the misconduct investigation requested by NSF, as outlined in their letter of March 16, has had its first meeting and is requesting some specific information from you. I attach their request here.

They have asked that you provide these materials as follows:

- The committee requests the documents pertaining to Publications #1 and # 2 be provided by June 1st.
- The committee requests all other documents be provided by June 8th.

If could please email the info to me (or a link to a BOX folder containing the relevant info), by the dates specified above, I would appreciate it.

The committee would also like to speak with you by Zoom some time between June 6 and June 9. Could you please let me know some times on those days when you could be available to talk with them? They will likely want a 2-hour initial block of time, and perhaps an additional 2-hour block in the event of followup questions.

Thank you very much.

Best regards

Steve

Steve Dewhurst
he/him
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Vice Dean for Research, School of Medicine and Dentistry
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